



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: December 18, 1997
Docket No. YO994-065XX
Prior Art Unit: 2515
Prior Ex. J. Dudek

RECEIVED

JAN 05 1998

GROUP 2500

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under ☒ 37 C.F.R. 1.60 ☐ 37 C.F.R. 1.62 of pending prior application Serial No. 08/803,210 filed on February 21, 1997 of E. Colgan et al. for "A SELECTIVE SPATIAL LIGHT MODULATOR ARRAY".

1. ☐ As last amended, the title has been changed to _____
2. ☐ As last amended, the name of applicant has been changed to _____
3. ☒ Enclosed is a copy of the prior application, including the oath or declaration. Applicant's undersigned attorney hereby states that these application papers comprise a true copy of the prior application as filed.
4. ☒ The filing fee is calculated below:

Claims as filed in this application	Number Filed	Number Extra	Rate	Basic Fee
				\$ 790.00
Total Claims	15	-20 = 0	X \$22	=
Independent Claims	2	-3 = 0	X \$82	=
Multiple Dependent Claims, if any (\$270)				=
Total Filing Fee				\$ 790.00

5. ☒ Charge any fees which may be required, except for the Issue Fee, or credit any overpayment to Deposit Account No. 09-0468.
6. ☐ Cancel in this application original Claims _____ of the prior application before calculating the filing fee.
7. ☒ Amend the specification by inserting before the first line the sentence: - This is a ☒ continuation ☐ division of application Serial No. 08/803,210, filed February 21, 1997.
8. ☐ Priority of application Serial No. _____, filed on _____ in _____ is claimed under 35 U.S.C. 119.
9. ☐ The certified copy of the priority application has been filed in prior application Serial No. _____, filed _____.
10. ☐ An appointment of associates is enclosed.
11. ☒ Address all future communications to Daniel P. Morris, IBM Corporation, Intellectual Property Law Dept., P.O. Box 218, Yorktown Heights, N.Y. 10598.
12. ☐ Preliminary amendment to this application is enclosed.
13. ☐ Enter in this application the amendment under 37 C.F.R. 1.116 which was unentered in the prior application.

IBM Corporation
Intellectual Property Law
P.O. Box 218
Yorktown Heights, NY 10598

Daniel P. Morris
Reg. No. 32,053
(914) 945-3217



Atty. Docket No. YO994-065XX

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: E. Colgan et al.

Serial No.:

Group Art Unit:

Filed: (Herewith)

Examiner:

For: A REFLECTIVE SPATIAL LIGHT MODULATOR ARRAY

Commissioner of Patents and Trademarks
Washington, D.C. 20231

RECEIVED
JAN 05 1998
GROUP 2500

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EM602152186US

Date of Deposit: December 18, 1997

I hereby certify that the following **attached** paper or fee

Request for a Continuation Application Under 37 CFR 1.60
Copy of original patent application and 9 sheets of drawings
Copy of Declaration and Power of Attorney
Acknowledgement Card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Sandra M. Emma

(Typed or printed name of person mailing paper or fee)

Sandra M. Emma
(Signature of person mailing paper or fee)

NOTE: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) **be signed** and (2) **fully identify and be securely attached to the paper or fee it accompanies**. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g., complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.



A REFLECTIVE SPATIAL LIGHT MODULATOR ARRAY

Field of the Invention

This invention relates to display devices and more particularly to a spatial light modulator array on a semiconductor substrate with appropriate control circuits for controlling respective pixels.

Background of the Invention

Spatial light modulator (SLM) arrays, also referred to as light-valve arrays are used in projection displays, optical interconnects, holographic storage, and other applications where light is modulated spatially and temporally in response to an array of data. There are a number of advantages to Si based SLM's. With a Si based reflective SLM, high optical throughput can be achieved even with small pixels because the address lines and pixel storage capacitors do not block the light as in a transmissive display where the light passes through the substrate. With single crystal Si transistors, the transistor switching speeds are faster than with amorphous-Si or polycrystalline Si transistors

now used for flat panel displays and would permit displays with a higher frame rate and higher information content. Additionally, even older Si chip manufacturing facilities can support much finer feature sizes on a SLM than are available with current flat panel manufacturing technology. Minimizing the feature size and hence the pixel size is desirable since the size of the other optical components for a display scale with the size of the SLM.

In US patent 4,999,619 by T.S. Te Velde which issued March 12, 1991, an electro-optic display device is described having a layer of liquid crystalline material between a first transparent supporting plate having at least one transparent first control electrode and a second supporting plate and at least one semiconductor body having one or more switching elements for driving a picture element matrix arranged in rows and columns and having picture electrodes which can be electrically driven separately. The second supporting plate may be provided with an additional reflective layer (dielectric mirror) which covers the picture elements and (possible) intermediate parts of the semiconductor material. The intermediate semiconductor material is then still better shielded from incident light.

In a publication by J. Glueck et al., entitled "Improvement in light efficiency of a-Si:H TFT-addressed reflective $\lambda/4$ -HAN-Mode light valves for Color TV projection", p. 299, SID 1993 Digest, a

large optical active area is achieved by placing the TFT's, the storage capacitors, and the row and column lines underneath an array of reflective Aluminum pixel electrodes to provide a-Si:H TFT-addressed light valve with a resolution of 400200 pel, a pixel size of 50 μm and an aperture ratio of 84%.

In a publication by A. O'Hara et al., entitled "Mirror quality and efficiency improvements of reflective spatial light modulators by the use of dielectric coatings and chemical-mechanical polishing" Applied Optics, 32,5549 (1993), the mirror quality for a spatial light modulator was improved either by coating the mirror on top with silicon dioxide or by chemical-mechanical polishing silicon dioxide below before depositing the aluminum to form the mirror.

With flat panel displays, plastic spheres a few microns in size may be randomly dispersed in the liquid crystal device gap to serve as spacers. For the very small pixel size being used (17 μm on a side) this is not practical as a single spacer ball could block 4 % of the area of a mirror which is significant since with a 4-bit grey scale the least significant bit corresponds to 6 % and any clustering of spheres could cause more severe mirror shadowing.

The use of rigid SiO_2 spacers built on the front glass has been reported by J. Glueck et al., "Color-TV projection with fast-

switching reflective HAN mode light valves", p. 277, SID 1992 Digest and J. Glueck et al., p. 299, SID 1993 Digest, cited above. U.S. Patent '619 by T.S. Te Velde cited above, describes spacers or posts formed from a layer of magnesium oxide by photolithography and etching.

Summary of the Invention

In accordance with the present invention, a spatial light modulator array for modulating light and its fabrication is provided comprising a plurality of liquid crystal devices positioned over respective mirrors on a dielectric layer on a semiconductor substrate, a plurality of electrical circuits formed in the semiconductor substrate coupled to the liquid crystal devices, respectively, for modulating light passing there through, and a reflector/absorber layer positioned and patterned with respect to the mirrors. The reflector/absorber layer provides optical shielding for the plurality of electrical circuits from ambient and the impinging light, the reflector/absorber layer having an edge overlapping an edge of the mirror to form an overlapping region to attenuate the ambient and impinging light from passing into the semiconductor substrate by a factor of about 100,000 or higher.

Brief Description of the Drawing

These and other features, objects, and advantages of the present invention will become apparent upon consideration of the following detailed description of the invention when read in conjunction with the drawing in which:

Figure 1 is a cross section view of one embodiment of the invention.

Figure 2 is a graph of the reflectivity versus heat treatment for an aluminum mirror of various thicknesses.

Figure 3 is a graph of the reflectivity versus annealing temperature for aluminum and for an aluminum (0.5 wt. % copper) alloy.

Figure 4 is a graph of the reflectivity versus optical test structures C1, OP2, and OP3.

Figures 5-10 are cross section views after selected processing steps for building the embodiment shown in Figure 1.

Figure 11 is a scanning electron micrograph top view of the structure shown in Figure 10.

Figures 12-17 are cross section views after various processing steps for fabricating an alternate embodiment of the invention.

Description of the Preferred Embodiments

Referring to Fig. 1, a spatial light cross section view of one half of a modulator 10 is shown. A plurality of spatial light modulators 10 may be simultaneously formed in an array 11 such as 2048 by 2048 pixels. The pixel size may be 17 on a side using 1.4 μ m lithography. A liquid crystal device 12 is shown positioned over substrate 14, which may be a single crystal semiconductor or semiconductor on insulator (SOI) such as Si or SiGe, containing a plurality of electrical circuits 16 (not shown) which are connected by interlevel vias (such as via or stud 17), polysilicon layers 18 and 20, and metal layer 22. Liquid crystal device 12 comprises a liquid crystal material 26, a top electrode 28 which may be for example In-Sn oxide (ITO), a bottom electrode/mirror 30 which functions as an electrode and a mirror, and spacer 32 for spacing top electrode 28 and bottom electrode 30. Spacer 32 is not needed for each liquid crystal device 12. A reflector/absorber layer 34 functions to block or attenuate ambient light and impinging light on spatial light modulator 10 and passing through openings or gaps 56 between mirrors such as bottom electrode/mirror 33 and bottom electrode mirror 30 shown by arrows 54, 58 and 59 from passing into

semiconductor substrate 14.

To complete the description of spatial light modulator 10, a dielectric layer 36 is positioned between substrate 14 and polysilicon layer 18 which may be for example thermal oxide. A thin dielectric layer 38 which may be about 650Å is positioned between polysilicon layers 18 and 20. A dielectric layer 40 is positioned between polysilicon layer 20 and/or dielectric layer 38 and metal layer 22 and/or dielectric layer 42. Dielectric layer 38 may be thermally grown on polysilicon layer 18. Dielectric layer 40 and 42 may be formed by chemical vapor deposition (CVD) such as with TEOS as the precursor gas. As shown in Fig. 1, dielectric layer 42 may have an upper surface 43 which is chemically mechanically polished to provide a planarized upper surface 43. A dielectric layer 44 may be formed over dielectric layer 42 of the same material. Dielectric layer 46 is formed over reflector/absorber layer 34 and fills the gap between reflector/absorber layer 34 and metal layer 24 and/or electrode/mirror 30. Dielectric layer 46 may be silicon nitride to provide a high dielectric constant material with an epsilon of about 7 to form a capacitor 48 between metal layer 24 and reflector/absorber layer 34. Capacitor 48 is coupled (interconnections are not shown) with capacitor 50 formed by polysilicon layer 18, dielectric layer 38 and polysilicon layer 20 which functions to hold the voltage on electrode/mirror 30. Reflector/absorber layer 34 may be at ground potential.

The functional requirements for a reflective spatial light modulator 10 include 1) shielding the semiconductor substrate 14 from the incident light (ambient and impinging), 2) high optical throughput and contrast, 3) pixel storage capacitance, and 4) precise control of the liquid crystal cell thickness without spacers obscuring the mirrors. As shown in Fig. 1, spacer 32 does not obscure electrode/mirror 30. Spacer 32 is not repeated for every pixel but are positioned at intervals necessary to keep cover plate 52 at a uniform distance above bottom electrode/mirror 30. One spacer may be needed for anywhere from 10 to 400 pixels.

With the structure shown in Fig. 1, shielding the semiconductor devices in substrate 14 forming electrical circuits 16 from light is accomplished by the combination of electrode/mirror 30, and reflector/absorber layer 34. Both the electrode/mirror 30 and reflector/absorber layer 34 are sufficiently thick so that they are optically opaque. Light or radiant energy 54 and 58 incident in opening 56 between electrode/mirrors 30 and 33 (partially shown on the left side of 30 in Fig. 1) of liquid crystal device 12 and the adjacent liquid crystal device would enter dielectric layer 46 and would require multiple reflections as shown by arrow 59 between top surface 55 of reflector/absorber layer 34 and the bottom surface of electrode/mirror 30 to reach dielectric layers 44, 42, 40, and 36 and semiconductor substrate 14 containing electrical circuits 16 (not shown). The top surface 55 of reflector/absorber layer 34 may

be TiN, which has a reflectivity of between 20% for blue light and 65% for red light. For red light incident at 7 degrees off normal, nearly one hundred reflections as shown by arrow 59 would be needed for reflected light to reach opening 57 around stud 17 and the intensity would be reduced or attenuated by over 10^{-19} . Outside the array of liquid crystal devices 12, a blanket reflector/absorber layer 34 may be used to protect the semiconductor devices from incident light or radiant energy 54.

The optical throughput depends on the fraction of the area of the spatial light modulator 10 occupied by electrode/mirrors 30, the reflectivity of electrode/mirrors 30, and the flatness of electrode/mirrors 30. The electrode/mirrors 30 fill factor is determined by the smallest space which can be reliably patterned between adjacent electrode mirrors 30 and 33 and depends on the available lithography and patterning technology. For spatial light modulator 10 shown in Fig. 1, the electrode/mirror diameter or width or pixel size is 17 μm on a side with a nominal space of 1.7 μm between electrode/mirrors 30; the electrode/mirror 30 fill factor is 81%. A reduced space is possible, but increases the probability of electrode/mirror 30 to electrode/mirror shorts because of the very large array area i.e. 2,048 by 2,048 pixels. The electrode/mirrors 30 are made of Al or an alloy of Al since Al is one of the most reflective metals e.g. 92% on average for the wavelengths of interest and Al-Cu alloys are commonly used for semiconductor metallizations such as described in US patent

3,725,309 by Ames et al., which issued April 1973 and assigned to the assignee herein. The copper is added to aluminum to improve the electromigration performance and to reduce hillock formation. Silver is slightly more reflective, e.g. 93%, but Ag rapidly oxidizes and is not compatible with typical semiconductor fabrication processes. A disadvantage with using Al is the formation of hillocks during thermal cycling due to the thermal expansion mismatch with the substrate. The hillock formation can be minimized by limiting the heat treatments after deposition of metal layer 24 or by limiting the Al grain size. Typical processing temperatures reached after metal layer 24 is formed are 400°C, which might be reduced to 350°C. The Al grain size can be reduced by limiting the film thickness of metal layer 24, or by alloy additions. Reducing the Al film thickness too far can result in agglomeration of the film after annealing, which also reduces the reflectivity.

Fig. 2 is a graph of the reflectivity versus heat treatment for an Al mirror of various thicknesses. In Fig. 2, the ordinate represents reflectivity of light in percent (relative to 100 nm Al unannealed) and the abscissa represents heat treatment at a temperature for 30 minutes. The Al mirror was formed by evaporating Ti over silicon dioxide to a thickness of 10 nm followed by evaporating Al over the Ti layer. The Al was deposited to a thickness of 50 nm shown by the solid circles interconnected to form curves 61-63. Curves 61-63 correspond the wavelength of

light of 450 nm, 550 nm, and 680 nm respectively. For Al deposited to a thickness of 100 nm, solid squares are shown interconnected by curves 64-66. Curves 64-66 correspond to the wavelength of light of 450 nm, 550 nm, and 680 nm respectively. For Al deposited to a thickness of 150 nm, solid triangles are shown in Fig. 2 interconnected by curves 67-69. Curves 67-69 correspond to the wavelength of light of 450 nm, 550 nm, and 680 nm respectively. Based on these results, an Al mirror thickness of 150 nm was chosen with a 10 nm Ti layer underneath for improved adhesion and contact resistance. Alloying the Al with Cu slightly reduces the reflectivity with low temperature annealing but the reflectivity after annealing at 400°C is significantly better due to the reduced hillock formation compared with pure Al.

Fig. 3 is a graph of the reflectivity versus annealing for Al and for an Al (0.5 wt. % copper) alloy. In Fig. 3, the ordinate represents reflectivity of light and the abscissa represents annealing at 200°C, 350°C and 200°C, and 400°C and 200°C where each temperature is maintained for 1 hour. Curves 71-73 are for data points shown by a solid triangle, square, and circle respectively and are for a silicon dioxide layer, a 10 nm Ti layer thereover and a 177 nm Al blanket layer over the Ti blanket layer. Curves 74-76 are for data points shown by the outline of a triangle, square, and circle respectively and are for a silicon dioxide layer, a 10 nm Ti layer thereover and a 173 nm Al(0.5 wt. % Cu) blanket layer over the Ti blanket layer. Curves 71 and 74

show the reflectivity for light at 450 nm (blue). Curves 72 and 75 show the reflectivity for light at 550 nm (green). Curves 73 and 76 show the reflectivity for light at 625 nm (red). Bulk Al has a reflectivity of about 92%. As can be seen in Fig. 3 by curves 71-73, the reflectivity drops below 87 % for an anneal temperature of 400 °C at 1 hour and a temperature of 200°C at 1 hour. Curves 74-76 show only slight degradation of about 0.5 to 0.8 % in reflectivity at the above anneal temperatures and times. However, the reflectivity shown by curves 74-76 stays above 89.3 %. The reason for better reflectivity is due to less hillocks forming during annealing at 400°C with Al (0.5 wt % Cu) films.

The flatness of the mirrors depends on the planarity of the surface on which the mirrors are formed. A chemical-mechanical polished (CMP) insulator layer for planarized mirrors on a semiconductor substrate containing the drive elements may be used. Dielectric layer 42 may be formed over metal layer 22 which is shown in Fig 1 as being patterned is polished resulting in a planar surface below the reflector/absorber layer 34 shown in Fig. 1. Chemical-mechanical polishing is very successful in forming a planar dielectric layer 46 and electrical/mirror 30, results from optical pattern (OP) and capacitor (C) test structures are shown in Fig. 4.

Figure 4 is a graph of reflectivity versus optical test structures C1, OP2 and OP3 to show the acceptable reduction in

reflectivity due to underlying integrated circuits and interconnections. In Fig. 4 the ordinate represents Reflectivity (absolute %) and the abscissa represents three test structures i.e. C1, OP2, AND OP3. Test structure C1 represents as shown in Fig. 1, a substrate 14, dielectric layers 36, 42, 44, and 46, and with bottom electrode/mirror 30 comprising a 150 nm Al blanket mirror on a region with no underlying topography i.e. all blanket layers. Test structure OP2 represents as shown in Fig. 1, a substrate 14, dielectric layers 36, 40, 42, 44 and 46, blanket metal layer 22, stud 17, reflector/absorber layer 34 on a 17µm grid patterned and with bottom electrode/mirror 30 comprising a blanket layer of 150 nm thick Al. Test structure OP3 represents the structure of OP2 except metal layer 22 is also patterned on a 17µm grid to reflect typical electrical interconnections below blanket electrode/mirror 30. Curves 81-83 represents one sample wafer and curves 84-86 represents a second sample wafer. The solid triangle, square, and circle correspond to curves 81-83 respectively for wavelengths of light or radiant energy of 450 nm (blue), 550 nm (green), and 620 nm (red). The outline of the triangle, square and circle correspond to curves 84-86 respectively for wavelengths of light or radiant energy of 450 nm, 550 nm and 620 nm. As shown in Fig. 4, the increasing topology affects the absolute reflectivity, with no test sample having a reflectivity below 89.1%. The lowest reflectivity was for curves 83 and 86 at 620 nm (red light). Stud 17, the patterning of metal layer 22 and reflector/ absorber layer 34 of test structures OP2 and OP3, decreased reflectivity about 0.3

to 1% depending on the wavelength of light. The major contributing factor to the decrease in reflectivity is probably due to the depression or "dimple" formed by the electrode/mirror 30 above stud 17 which was dished out during CMP planarization of the W layer used to fill stud 17.

The contrast ratio of the spatial light modulator 10 can be degraded by light reflected from the exposed reflector/absorber layer 34 between pixel electrodes/mirrors 30 and 33. This is an additional reason for reducing the reflectivity of the reflector/absorber layer 34 as much as is possible. The contrast also depends on the liquid crystal mode used and what electric fields are present between the pixels since the reflected light will need to have the correct polarization to appear as part of the image. The thickness of the dielectric layer 46 of Si_3N_4 over the reflector/absorber layer can also be adjusted in thickness such as a quarter wavelength to cause destructive interference for a given wavelength of light.

To minimize semiconductor device processing changes and pixel size, a significant portion of the pixel storage capacitance was included in the metallization, between the reflector/absorber layer 34 and the pixel electrode/mirror 30. The reflector/absorber layer 34 is connected to a fixed potential and the reflector/absorber layer 34 to electrode/mirror 30 overlap region such as about $230 \mu\text{m}^2$ per pixel which provides a portion of the pixel capacitor. A

layer of Si_3N_4 is used as the insulator since Si_3N_4 has a higher dielectric constant, about 7, than SiO_2 , which may be near 4.1. Large area capacitors of 0.5 & 3 cm^2 with this structure have values of about 14 nF/ cm^2 . It is necessary to have sufficient pixel storage capacitance to hold the voltage across the liquid crystal device 12 with sufficient accuracy to allow the desired number of grey levels until the data is refreshed or updated.

Precise control of both the absolute value and uniformity of the liquid crystal device 12 thickness is required for high contrast and good uniformity. The desired device gap is determined by the liquid crystal material 26 used, the liquid crystal mode selected, and the wavelength of light. Liquid crystal device 12 gap is determined by a uniform blanket layer of SiO_2 of the correct thickness deposited over the mirrors and patterned to leave spacer posts at the corners of the mirrors. The density of spacer posts 32 used was determined from finite-element stress modeling and a range of about 70 to 140 μm between spacer posts 32 has been used. It is desirable to minimize the number or density of spacers because the liquid crystal material 26 is not aligned correctly around the spacer posts 32 and this misalignment reduces the contrast ratio and the misalignment can appear in the image when the reflected light is projected onto a display screen.

The method for making spatial light modulator 10 shown in Fig. 1 will now be described with reference to Figs. 5-10. Figs. 5-10

are cross section views of a partially built spatial light modulator 10 after various processing steps have been performed. In Figs. 5-10, like references are used for functions corresponding to the apparatus of Fig. 1. After the semiconductor device processing is completed in semiconductor substrate 14, a liftoff stencil is patterned by photolithography, a layer of Si and then 0.7 μ m Al(Cu) are evaporated, and the liftoff stencil is removed with a suitable solvent, leaving the metallization in the desired regions. The Si layer is needed to prevent dissolution of Si from the devices into the Al and spiking of the contact regions during subsequent thermal treatments. The separate Si layer which is below metal layer 22 is not shown in Figs. 1 or 5. After the metal layer 22 liftoff is completed to form patterned layer 90, a thick conformal dielectric layer 42 such as silicon dioxide for example may be deposited, as shown in Fig 5.

The next process step is chemical-mechanical polishing (CMP) of the dielectric layer 42 to provide a planar or flat surface reflector/absorber layer 24 to be formed thereon. The topography may be greater than for typical very large scale integration (VLSI) and the chip size is very large.

As shown in Fig 6, a single CMP step is used to planarize dielectric layer 42 from the topography arising from both the Si devices and patterned layer 90 simultaneously. The greater than usual topographic variation increases the difficulty of

planarization. The large chip size causes two problems; a larger area which needs global planarization and larger regions with different pattern densities. To clarify this, the chip contains regions such as the array with a high density of polysilicon layers 18 and 20 and patterned layer 90 whereas the contact area contain a similar patterned layer 90 density but only limited polysilicon layer 18 and 20, and further some regions contain none of these layers. Once these regions are sufficiently large, the polishing pad is unable to "bridge" over them and global planarization is difficult or impossible. This problem was solved by adding "dummy" polysilicon layers 18 and 20 and patterned layer 90 features during the chip design so that the pattern density is uniform enough on a small enough scale that global planarization can be achieved. At the CMP endpoint, about 500 nm of dielectric such as silicon dioxide remains over the highest patterned layer 90 feature.

Next as shown in Fig. 6, an about 200 nm dielectric layer 44 is formed or deposited on the upper surface 43 of dielectric layer 42 as protection against any pin holes in dielectric layer 42 and any conductors of patterned layer 90 exposed by scratching. Dielectric layer 44 was found to be a critical step for reaching a high yield as any exposed shorts in patterned layer 90 to the nearly blanket reflector/absorber layer 34 would cause a failure.

08990663-121897

The reflector/absorber layer 34 is formed by sputter deposition of 10 nm Ti, 100 nm Al(Cu), and 50 nm TiN and patterning by reactive ion etching (RIE). The bottom Ti layer 92 which is part of layer 34 shown in Fig. 7 is used for improved adhesion and contact resistance, a top or surface TiN layer 94 which is part of layer 34 shown in Fig. 7 is used as an antireflection coating, the bulk of the metallization is Al(Cu) layer 93 and metal layers 92-94 are patterned by RIE. TiN layer 94 is provided on the Al(Cu) layer 93 to reduce reflections so that fine features can be patterned by photolithography. Titanium nitride was used on the surface of reflector/absorber layer 34 since the necessary process steps were already available even though a lower red reflectivity would be desirable. One possibility would be to add carbon to the TiN: $\text{TiN}_{0.33}\text{C}_{0.67}$ has been reported in "Optical Constants and Spectral Selectivity of Titanium Carbonitrides", by B. Karlsson, Thin Solid Films, p. 181, 87 (1982) to have a reflectivity of about 30% for all the wavelengths of interest. After the reflector/absorber layer 34 is patterned, a 400-500 nm layer of Si_3N_4 is deposited to form dielectric layer 46 as shown in Fig. 7. The thickness of dielectric layer 46 is a compromise between a thinner layer for greater capacitance between reflector/absorber layer 34 and electrode/mirror 30 and a thicker layer which would reduce the probability of reflector/absorber layer 34 and electrode/mirror 30 defects and shorts.

The next step is to pattern the Si_3N_4 layer and the SiO_2 down

Y0994-065 - 18 -

18 M Confidential

to patterned layer 90 with the via mask, V1, for stud 17. The etching depth needs to be adequate to compensate for the variations in the SiO_2 thickness over the patterned layer 90. Stud 17 may be formed of tungsten by sputtering a Ti and TiN liner layer, growing a chemical vapor deposited (CVD) W layer, and removing the excess W outside the features by CMP. A "dimple" may be formed which is nearly as wide as the reflector/absorber layer 34 opening due to the higher polishing rate of W versus Si_3N_4 and the "dishing" which occurs during CMP as shown at surface 96 in Figs. 1 and 8.

Electrode/ mirror 30 is formed by evaporation or sputtering of 10 nm Ti followed by 150 nm of Al, Al(Cu) or other alloy. The Ti layer is used for improved adhesion and contact resistance. The photolithography is difficult due to the high reflectivity of the electrode/mirror layer 30, which is then patterned by RIE, as shown in Fig. 9. The rigid spacer posts 32 are formed by depositing a greater than 3 μm silicon dioxide layer on the wafer. The photolithography process for patterning spacer 32 is complicated by the tremendous variation in reflectivity for the ultraviolet light (UV) used between the exposed TiN layer and the Al layer. Since spacer 32 height uniformity is of critical importance for the liquid crystal device 12 performance, the SiO_2 deposition process was optimized to give a uniformity of better than 2% (one sigma). The blanket SiO_2 layer 31 is patterned by RIE with the process adjusted to have a low Si_3N_4 etch rate so that the over etching required does not expose the reflector/absorber layer 34 in the gap

56 between electrode/mirrors 30 and 33. The final process step is the terminal via (TV) etch which removes the Si_3N_4 and SiO_2 layers over the patterned layer 90 contacts (not shown) and test pads (not shown) in Fig. 10.

5 One detail not covered by the above description or shown in the drawing is how electrical contact is made to the reflector/absorber layer 34 outside the array. By using a combination of an opening 57 in reflector/absorber layer 34 which is smaller than a via or stud 17, an interconnection from patterned
10 layer 90 or electrode/mirror 30 to reflector/absorber layer 34 can be made since the reflector/absorber layer 34 contains 100 nm of Al(Cu) which acts as an etch stop during the patterning of an opening for via or stud 17. The only difficulty with this approach is if the via 17 opening etch does not stop in the Al layer, in
15 which case only an edge contact is formed. For this reason, the design rules call for redundant reflector/absorber 34 electrical contacts which is not a performance impact since only a limited number of contacts to reflector/absorber layer 34 is needed.

Fig 11 shows a scanning electron micrograph of an array 11 of
20 spatial light modulators 10 without liquid crystal material 26, top electrode 28 or glass cover plate 29 shown in Fig. 10. Some Al hillocks are evident on the electrode/mirrors 34. Two spacer posts are shown spaced in the row direction by 6 rows and in the column direction by 6 columns. The dimple in each electrode/mirror 30 is

from via or stud 17 and is evident because of the increased electron scattering by the top of the tungsten stud 17 underneath electrode/mirror 34. The brightest region corresponds to the W forming the tungsten stud 17 and the surrounding slightly darker region to the reflector/absorber layer opening reduced by the Si_3N_4 thickness as shown in Figs. 1 and 11.

An alternate process which would support smaller pixel sizes and a second level of metal for interconnections outside the spatial light modulator array is shown in Figs. 12-17. Figs. 12-17 are cross section views after selected processing steps for fabricating spatial light modulator array 10. In Figs. 12-17 like references are used for functions corresponding to the apparatus of Fig. 1.

Starting from the Si devices, a total of eight masks would be needed (three metal levels, three via/stud levels, and two insulator levels). Starting at the S1 level, Fig. 12, the reflector/ absorber layer 34 is patterned to function as the reflector/absorber layer 34 within spatial light modulator array 10 and as M2 wiring outside of the array area of spatial light modulators 10 as shown in Fig. 13. The planarized SiO_2 over electrode/mirror 30 is capped with an Si_3N_4 dielectric layer 46 which is used as an etch stop between mirrors during the etching of dielectric layer 32 to form spacer posts 32 as shown in Fig. 17. Inside the spatial light modulator array 10, to get the most

effective light shielding, the S2 stud is stacked directly on the S1 stud as shown in Fig. 15. Alternatively, an M2 segment could be interposed between the two studs but this would require a larger break in the M2/AR layer which would be more likely to permit light through to the semiconductor substrate below. The M3 mirror layer is formed by Al or Al(Cu) deposition and patterning as shown in Fig. 16. With improved lithography, the interpixel spacing can be reduced for a high fill factor even with small pixel sizes. The M3 mirror is used as a light blocking layer outside the array where M2 is used for wiring or outside the liquid crystal glue seal area an opaque polymer could be applied during packaging after the electrical contacts are made. The final two lithographic steps are used to form the SiO₂ spacers and expose the M2 contact and test pads. One of the key advantages of this process is that M2 is available outside of the array for wiring, which enables the integration of the data drivers onto the chip.

A spatial light modulator array based on a reflective liquid crystal which may have 2048 by 2048 pixels has been described. The array comprises a plurality of liquid crystal devices positioned over respective mirrors on a dielectric layer on a semiconductor substrate, a plurality of electrical circuits formed in the semiconductor substrate for placing a voltage across the liquid crystal device to modulate light passing therethrough, and a reflector/absorber layer positioned and patterned with respect to the mirrors for shielding or blocking light from passing into the

semiconductor substrate containing the electrical circuits, the reflector/absorber layer having an edge overlapping an edge of the mirror to form an overlapping region to decrease ambient light from passing into the semiconductor substrate and to provide a capacitor
5 for maintaining a voltage on the mirror which functions as the bottom electrode of the respective liquid crystal device.

A six mask process (after Si device processing) has been described using standard semiconductor manufacturing tools to fabricate the spatial light modulator array 10 shown in Fig. 1.
10 Spatial light modulator 10 as described meets the following requirements: 1) shielding the Si from the incident light, 2) high optical throughput and contrast, 3) pixel storage capacitance, and 4) precise control of the liquid crystal cell thickness without spacers obscuring the mirrors. The pixel size of a spatial light
15 modulator in the array may be 15.6 μm on a side or more using the process flow described in Figs. 5-10 or smaller pixels with the more advanced process described in Figs. 12-17.

While there has been described and illustrated a spatial light modulator array containing liquid crystal devices, electrical
20 circuits, an electrode/mirror and a reflector/absorber layer to block light from interfering with the electrical circuits, it will be apparent to those skilled in the art that modifications and variations are possible without deviating from the broad scope of the invention which shall be limited solely by the scope of the

[illegible]

CLAIMS

Having thus described our invention, what we claim as new and desire to secure by Letters Patent is:

- 1 1. A spatial light modulator array for modulating light to
2 form an image comprising:

3 a plurality of liquid crystal devices positioned over respective
4 mirrors on a dielectric layer on a semiconductor substrate,

5 a plurality of electrical circuits formed in said semiconductor
6 substrate coupled to said liquid crystal devices, respectively, for
7 placing a voltage across its electrodes, and

8 a reflector/absorber layer positioned and patterned with respect to
9 said mirrors for shielding said plurality of electrical circuits
10 from ambient light,

11 said reflector/absorber layer having an edge overlapping an edge of
12 said mirror to form an overlapping region to decrease ambient light
13 from passing into said semiconductor substrate.

- 1 2. The spatial light modulator array of claim 1 wherein said
2 reflector/absorber layer overlaps said edge of said mirror by at
3 least 5.4 μ m.

08999663-121897

3 liquid crystal devices and being electrically coupled to respective
4 outputs of said plurality of electrical circuits.

1 9. The spatial light modulator array of claim 1 wherein said
2 reflector/absorber layer is selected from the group consisting of
3 Al, Cr-Cr_xO_y, Ti and TiN and TiN_xCy where.

1 10. The spatial light modulator array of claim 1 wherein said
2 reflector/absorber layer is electrically conductive and forms a
3 blanket layer over said semiconductor substrate with openings
4 therein for electrical vias to said mirrors.

1 11. The spatial light modulator array of claim 1 wherein said
2 electrical circuits include complementary metal oxide silicon
3 (CMOS) circuits.

1 12 The spatial light modulator array of claim 1 wherein each
2 of said mirrors and said reflector/absorber layer forms a capacitor
3 of at least 0.03 pf.

1 13. The spatial light modulator array of claim 1 wherein said
2 liquid crystal devices are positioned in rows and columns on a
3 pitch in each of two directions of about 17 microns or less.

1 14. A method of forming a spatial light modulator array
2 comprising the steps of:

forming a plurality of electrical circuits in a semiconductor substrate positioned for interconnecting with subsequently formed liquid crystal devices, respectively,

forming one or more layers of interconnections above said plurality of electrical circuits,

forming a first dielectric layer over said electrical circuits and said layers of interconnections,

planarizing said first dielectric layer to provide a substantially planar upper surface on said first dielectric layer,

forming a reflector/absorber layer of conductive material, positioned and patterned with respect to subsequently formed liquid crystal devices for shielding said plurality of electrical circuits from ambient light,

forming a second dielectric layer above said patterned reflector/absorber layer,

forming studs through said second dielectric layer for electrical connection to subsequently formed mirrors,

forming a plurality of mirrors over said dielectric layer and patterned to form the lower electrode of said plurality of liquid crystal devices, said mirrors overlapping said reflector/absorber

24 layer to form a capacitor with respect to said overlapping mirror
25 and to attenuate light traveling between said reflect/absorber and
26 said mirror,

27 forming a plurality of spacers positioned in between selected
28 mirrors of said plurality of mirrors,

29 applying a layer of liquid crystal material,

30 orienting said layer of liquid crystal material, and

31 forming a top electrode of said plurality of mirrors to form said
32 plurality of liquid crystal devices.

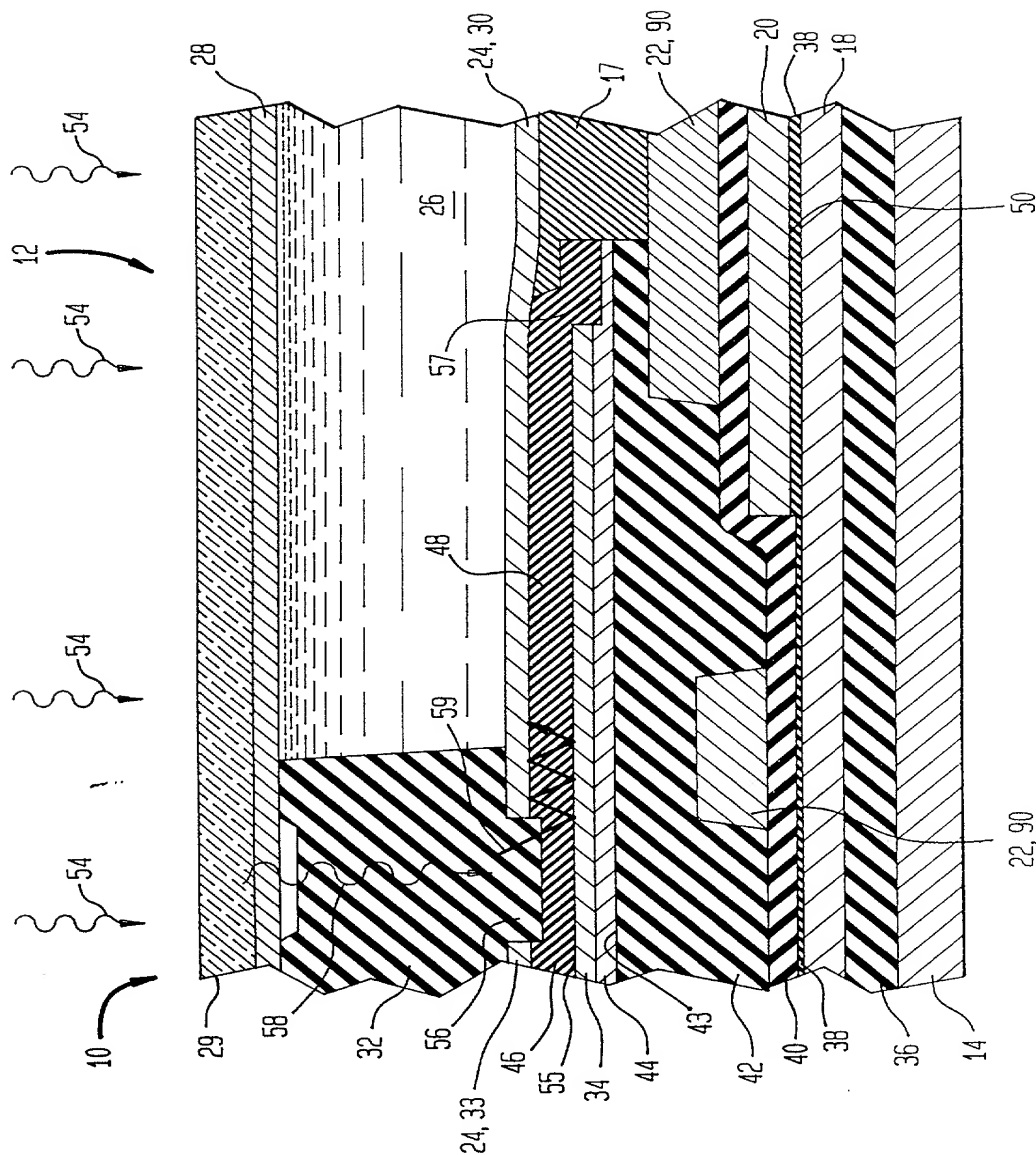
	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100
1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	

ABSTRACT OF THE INVENTION

5

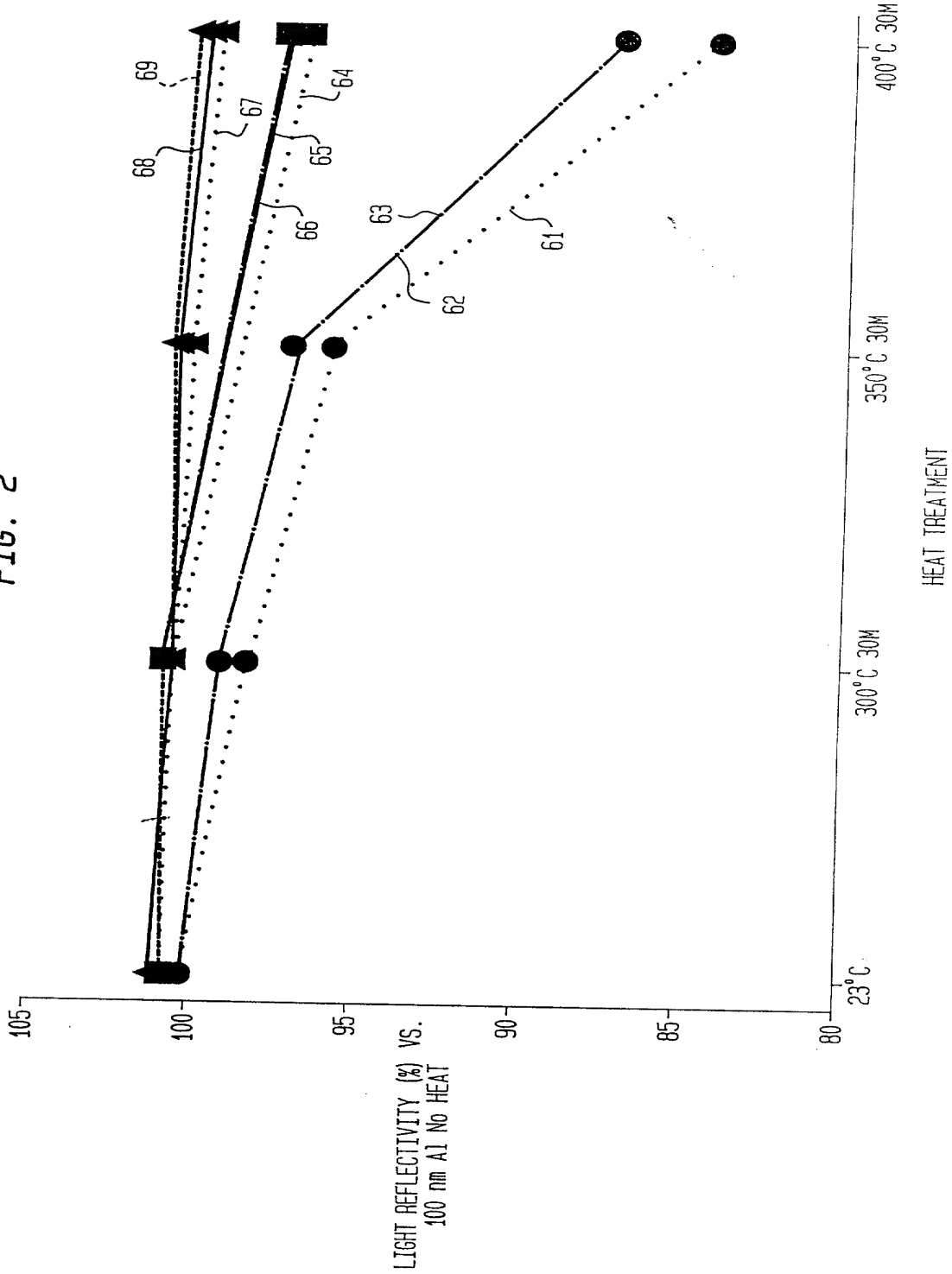
10

FIG. 1



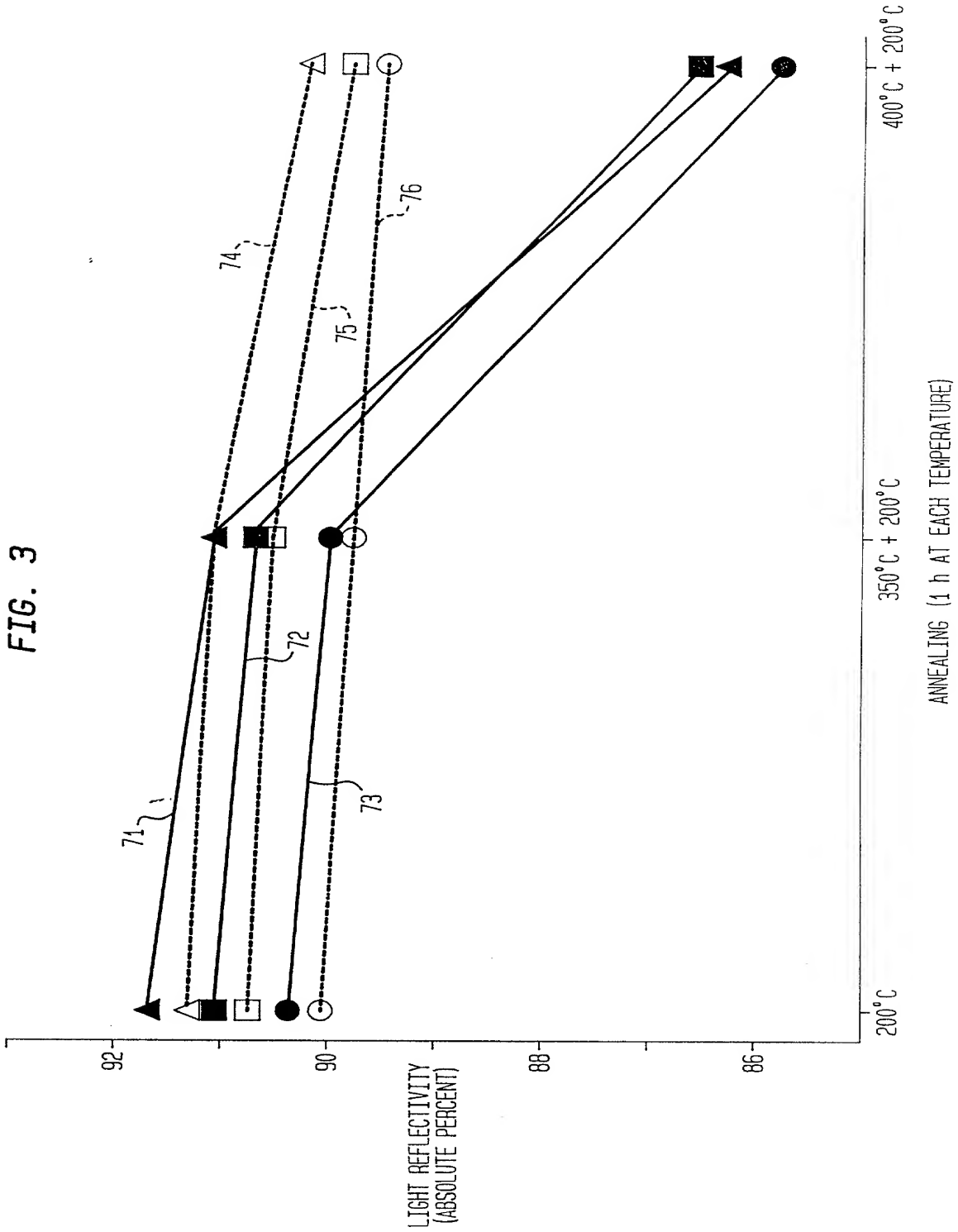
IBM Confidential

FIG. 2



IBM Confidential

FIG. 3



IBM Confidential

FIG. 4

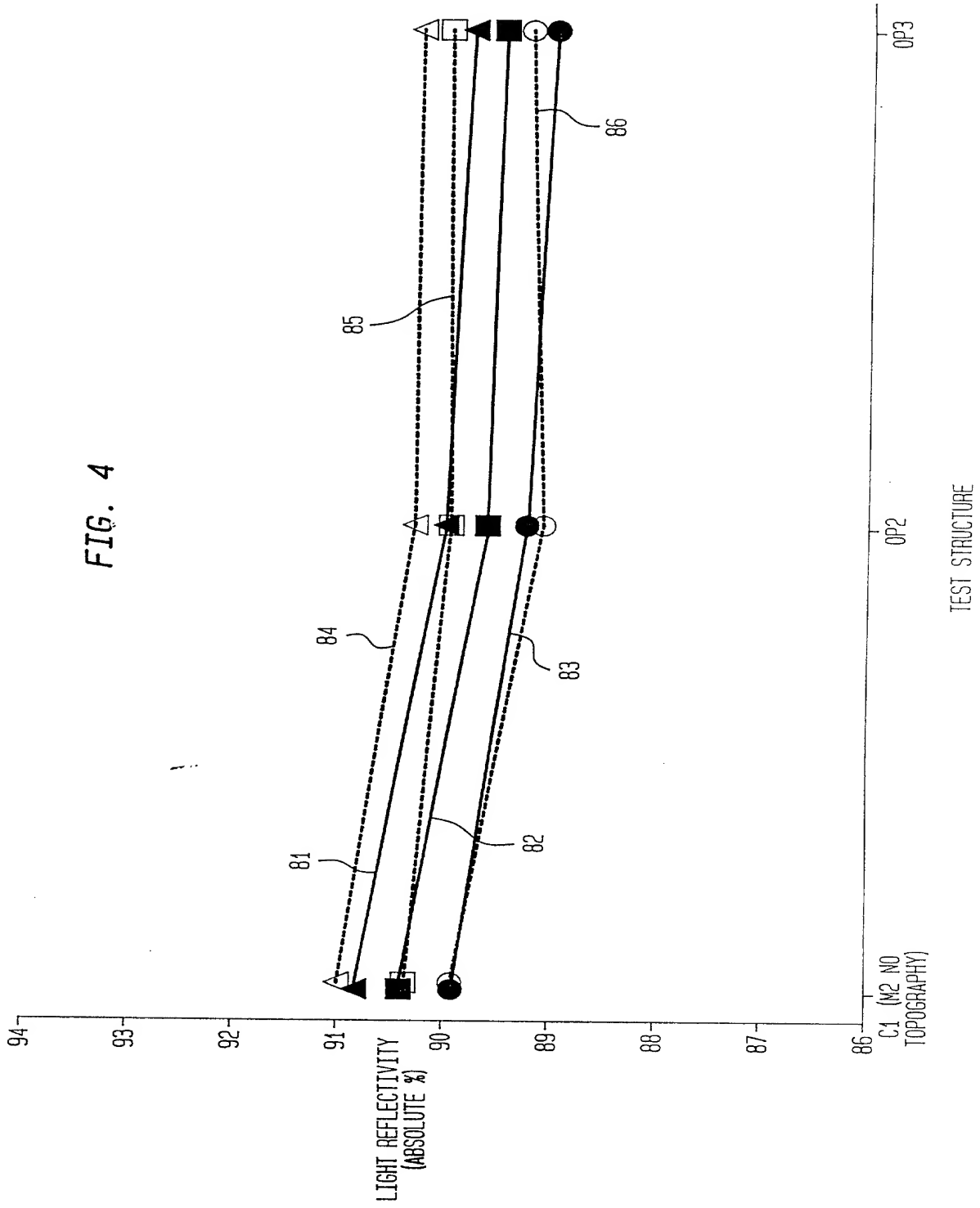


FIG. 5

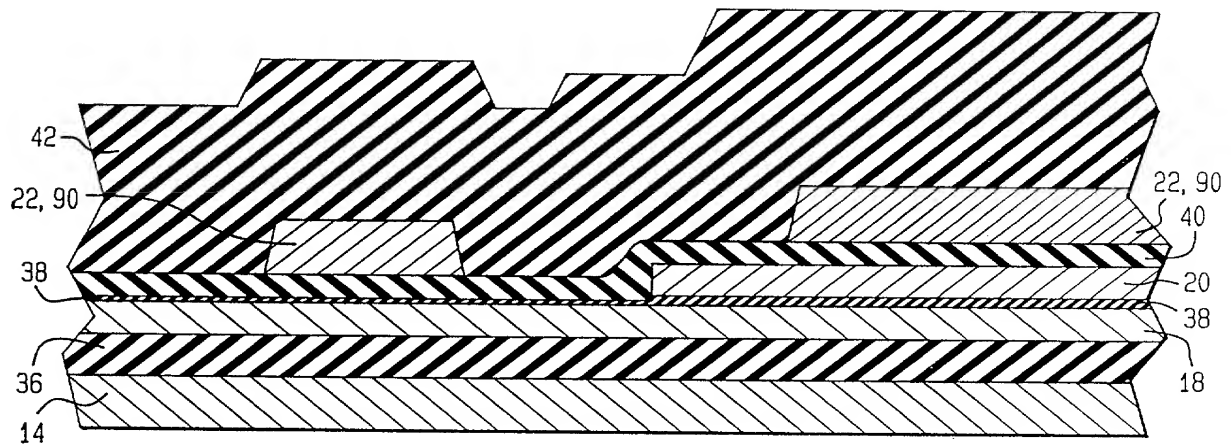


FIG. 6

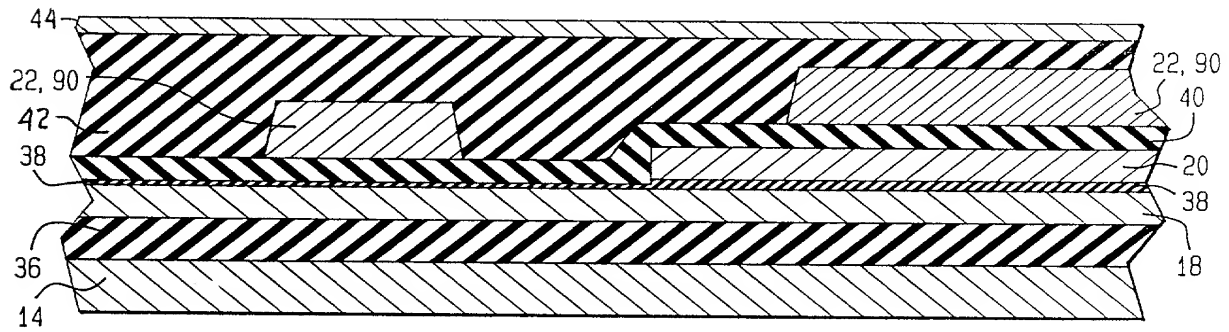
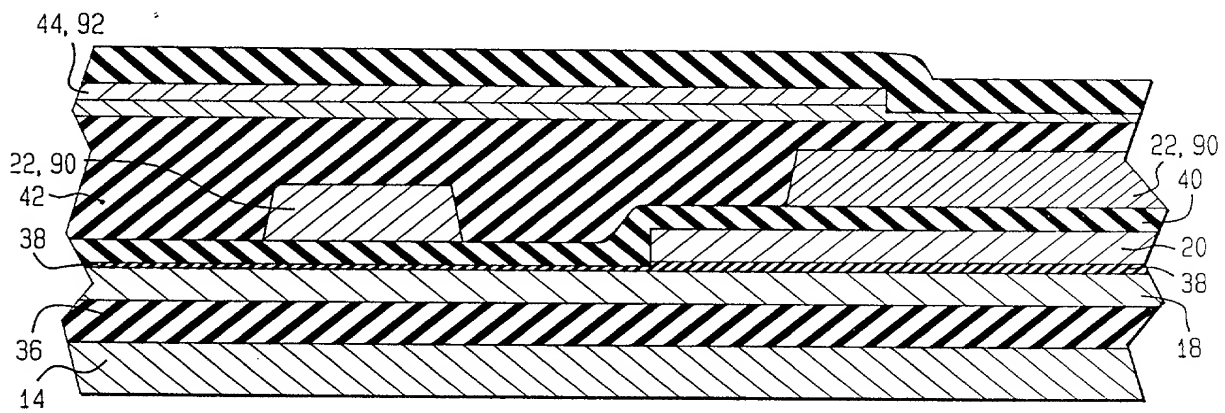


FIG. 7



IBM Confidential

FIG. 8

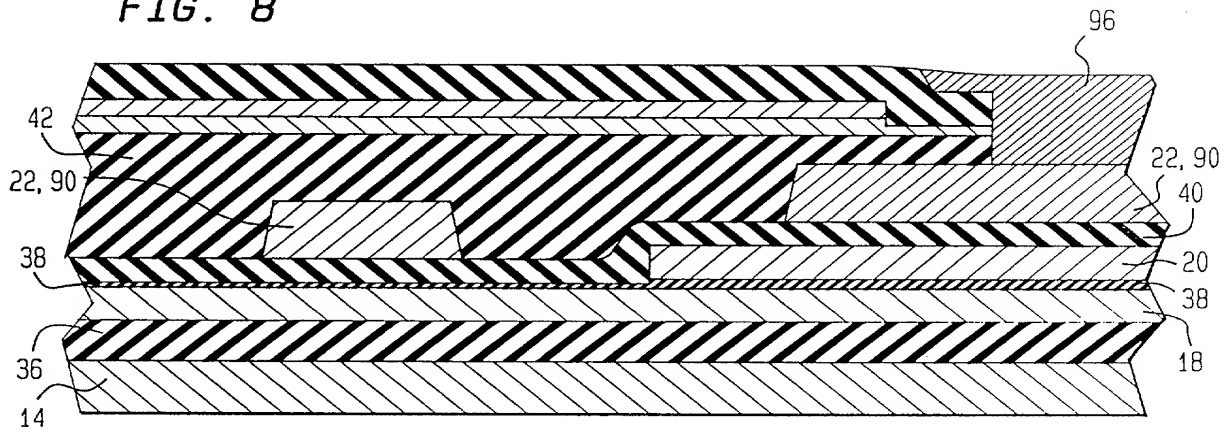


FIG. 9

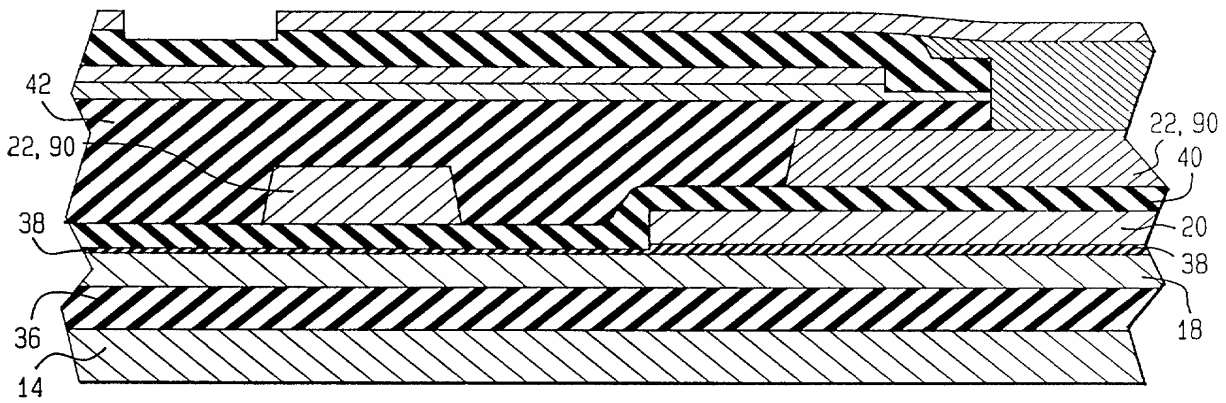
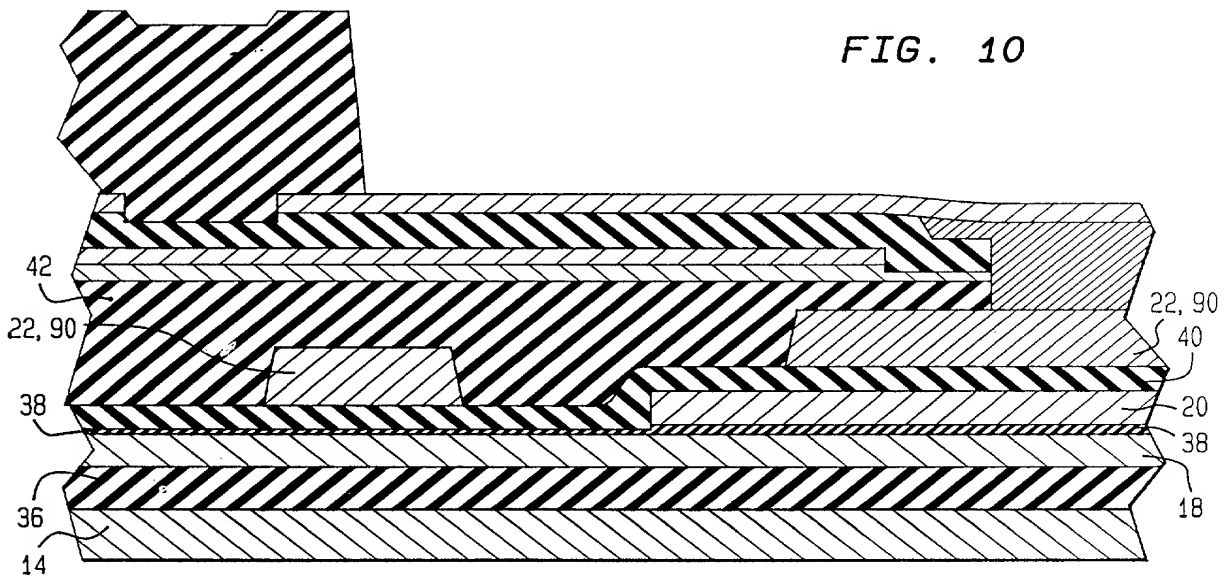


FIG. 10



IBM Confidential

0899663.121897

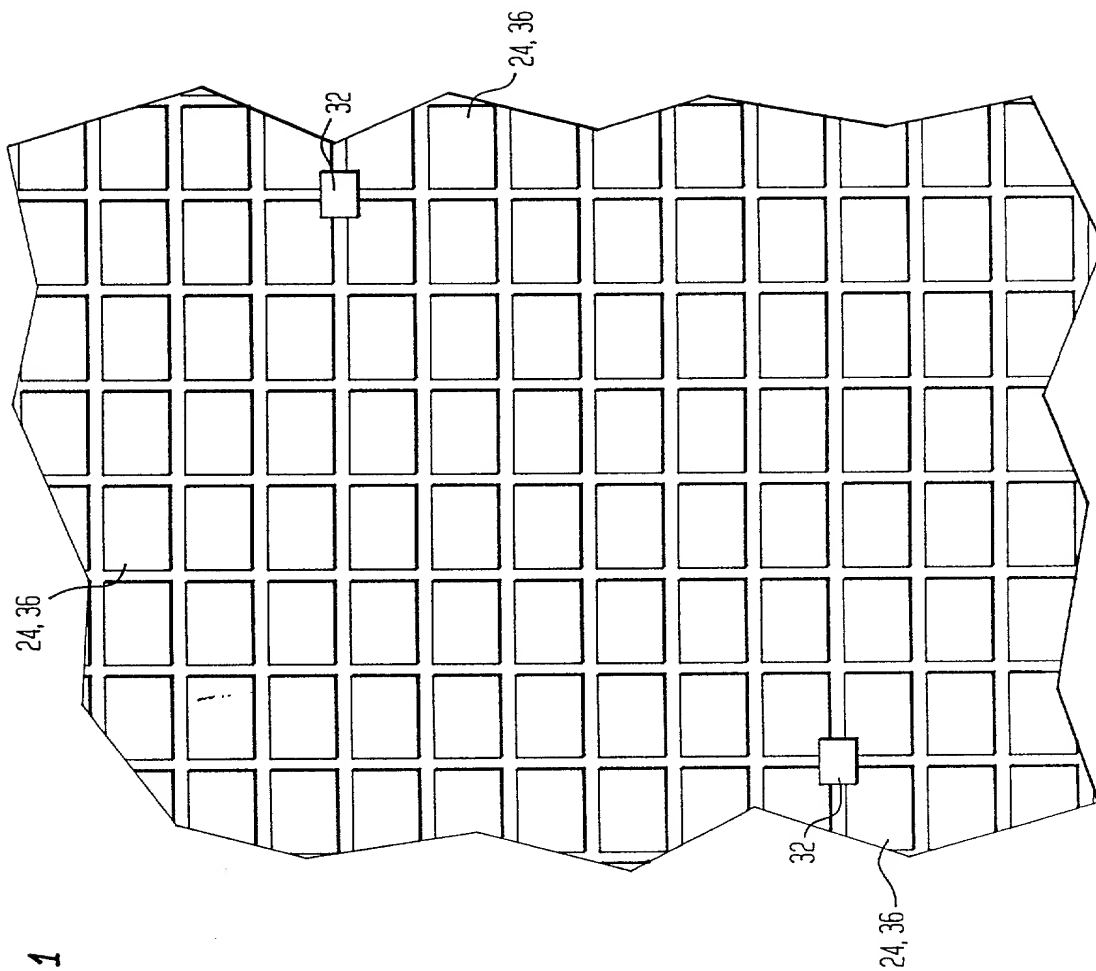


FIG. 11

IBM Confidential

FIG. 12

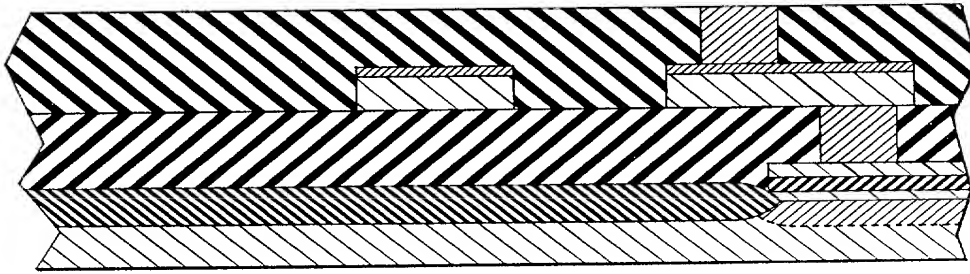


FIG. 13

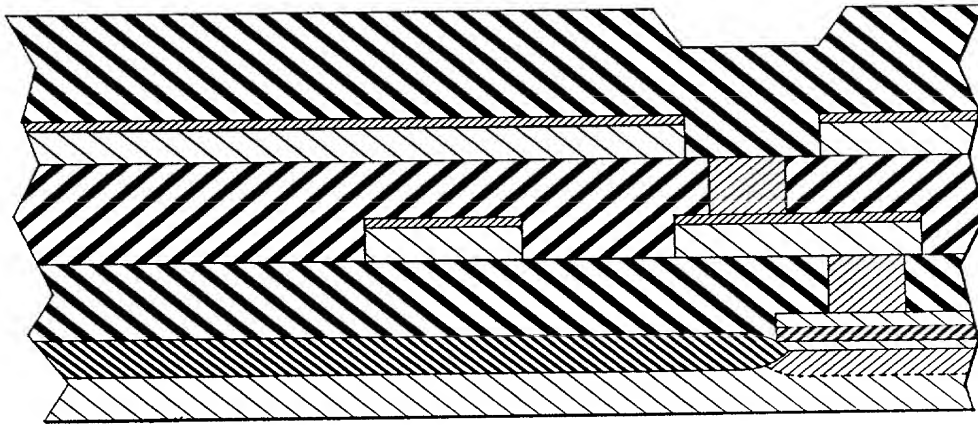
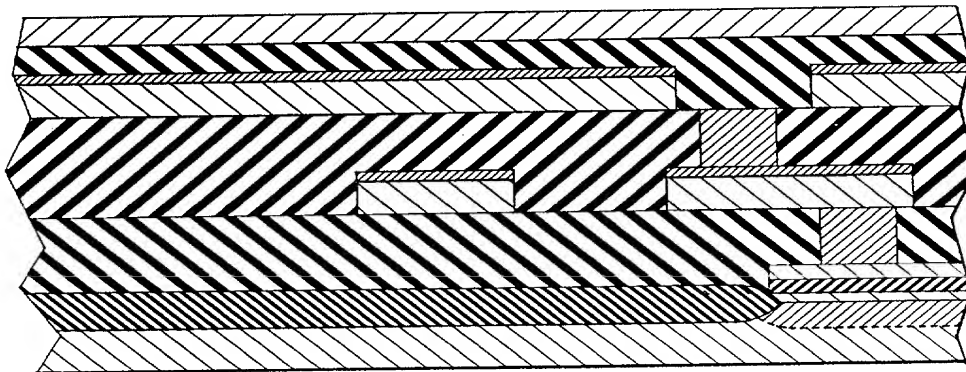


FIG. 14



IBM Confidential

06999663, 121897

FIG. 15

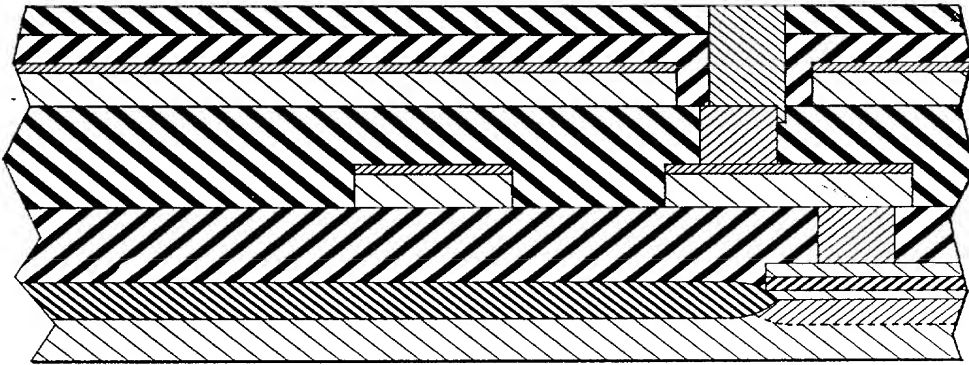


FIG. 16

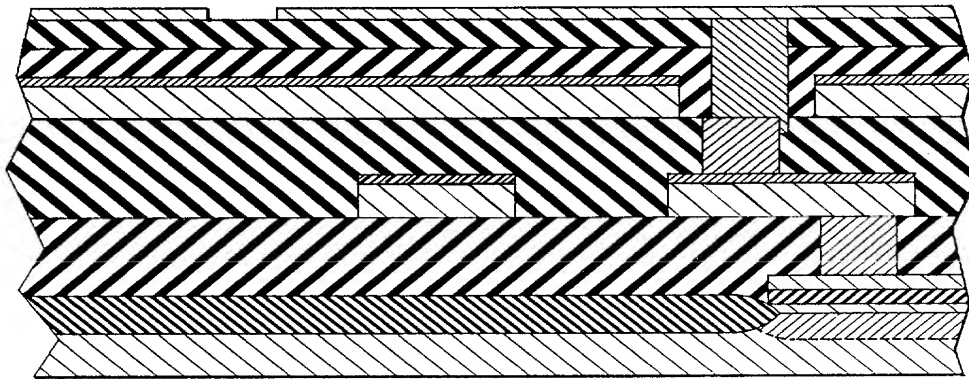
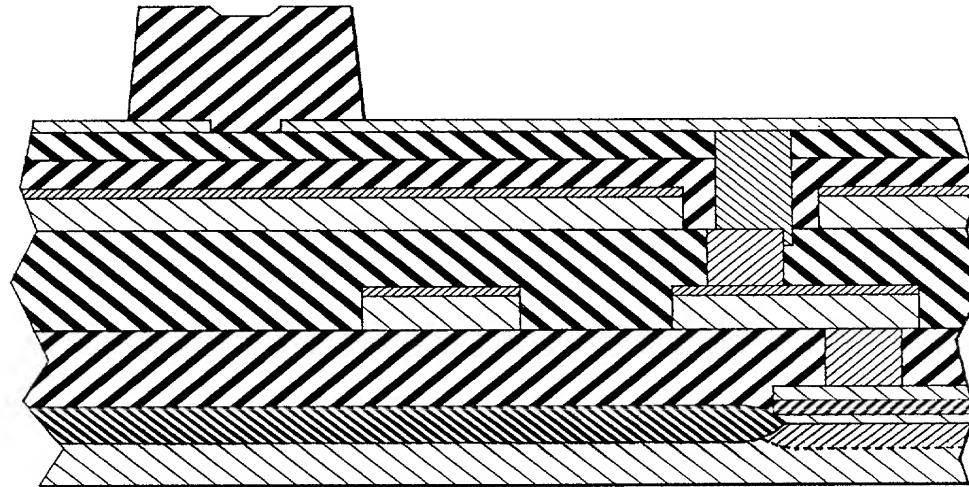
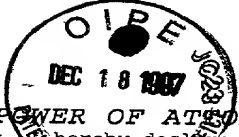


FIG. 17



IBM Confidential

08999663-121897

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A REFLECTIVE SPATIAL LIGHT MODULATOR ARRAY

the specification of which (check one)

☒ X is attached hereto.☒ X was filed on April 28, 1995 asApplication Serial No. 08/431,370and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
_____	_____	_____
_____	_____	_____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

Yen S. Yee (Reg. No. 29,729), William B. Porter (Reg. No. 33,135),
William T. Ellis (Reg. No. 26,874) and Robert M. Trepp (Reg. No. 25,933).Send Correspondence to: Robert M. Trepp Intellectual Property Law Dept.IBM Corporation, P.O. Box 218, Yorktown Heights, New York 10598Direct Telephone Calls to: (name and telephone number) Robert M. Trepp (945) 945-3147**EVAN GEORGE COLGAN**

Full name of sole or first inventor

Ea George Colgan
Inventor's Signature6/27/95
Date138 Doxbury Lane, Suffern, New York 10901
ResidenceUSA
CitizenshipSame as above
Post Office Address**JAMES MCKELL EDWIN HARPER**

Full name of second joint-inventor, if any

James McKell Edwin Harper
Inventor's signature6/27/95
Date507 Elizabeth Road, Yorktown Heights, New York 10598
ResidenceUSA
CitizenshipSame as above
Post Office Address

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

FRANK BENJAMIN KAUFMAN

Full name of third joint inventor, if any

Inventor's Signature

Date

23 Bedell Road, Amawalk, New York 10501
ResidenceUSA
CitizenshipSame as above
Post Office Address

MARGARET PAGGI MANNY

Full name of fourth joint-inventor, if any

Inventor's signature

Date

18 Lakewood Road, Mahopac, New York 10541
ResidenceUSA
CitizenshipSame as above
Post Office Address

ROBERT LEE MELCHER

Full name of fifth joint inventor, if any

Inventor's signature

Date

9 Deer Creek Lane, Mount Kisco, New York 10549
ResidenceUSA
CitizenshipSame as above
Post Office Address

JAMES LOUIS SPEIDELL

Full name of sixth joint-inventor, if any

Inventor's signature

Date

97 Stowe Drive, Poughquag, New York 12570
ResidenceUSA
CitizenshipSame as above
Post Office Address

Full name of seventh joint-inventor, if any

Inventor's signature

Date

Residence

Citizenship

Post Office Address